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| Substitute for form 1449A&B/PTO | | | | Complete if Known | |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary) | | | | Application Number | 10/626,512 |
| | | | | Filing Date | July 23, 2003 |
| | | | | First Named Inventor | Li, Henry |
| | | | | Art Unit | 1645 |
| | | | | Examiner Name | Dana H. Shin |
| Sheet | 1 | of | 2 | Attorney Docket Number | 016556-003110US |

| U.S. PATENT DOCUMENTS | | | | | |
|-----------------------|-----------------------|---|--------------------------------|--|---|
| Examiner Initials* | Cite No. ¹ | Document Number Number Kind Code ² (if known) | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
| DS | AA | 2002/0182223 A1 | 12-05-2002 | LaCount et al. | |

| FOREIGN PATENT DOCUMENTS | | | | | | | | |
|--------------------------|-----------------------|---------------------------|---------------------|-----------------------------------|--------------------------------|--|---|--------------------------|
| Examiner Initials* | Cite No. ¹ | Foreign Patent Document | | | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T ⁵ |
| | | Country Code ³ | Number ⁴ | Kind Code ⁵ (if known) | | | | |
| DS | AB | GB | 2 383 330 | A | 06-25-2003 | Cancer Research Ventures Limited | | <input type="checkbox"/> |

| NON PATENT LITERATURE DOCUMENTS | | | |
|---------------------------------|-----------------------|---|--------------------------|
| Examiner Initials * | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T ² |
| DS | AC | ELBASHIR, Sayda M. <i>et al.</i> ; "Analysis of gene function in somatic mammalian cells using small interfering RNAs"; <u>Methods</u> 26:199-213 (2002) | <input type="checkbox"/> |
| DS | AD | GOOD, P.D. <i>et al.</i> ; "Expression of small, therapeutic RNAs in human cell nuclei"; <u>Gene Therapy</u> 4:45-54 (1997) | <input type="checkbox"/> |
| DS | AE | KAWASAKI, Hiroaki and Kazunari Taira; "Identification of genes by hybrid ribozymes that couple cleavage activity with the unwinding activity of an endogenous RNA helicase"; <u>EMBO Reports</u> 3(5):443-450 (2002) | <input type="checkbox"/> |
| DS | AF | KAWASAKI, Hiroaki <i>et al.</i> ; "A functional gene discovery in cell differentiation by hybrid ribozyme and siRNA libraries"; <u>Nucleic Acids Research Supplement</u> (2):275-276 (2002) | <input type="checkbox"/> |
| DS | AG | KAWASAKI, Hiroaki <i>et al.</i> ; "Identification of genes that function in the TNF- α -mediated apoptotic pathway using randomized hybrid ribozyme libraries"; <u>Nature Biotechnology</u> 20:376-380 (April 2002) | <input type="checkbox"/> |
| DS | AH | KRUGER, Martin <i>et al.</i> ; "Identification of eIF2B γ and eIF2 γ as cofactors of hepatitis C virus internal ribosome entry site-mediated translation using a functional genomics approach"; <u>PNAS</u> 97(15):8566-8571 (July 18, 2000) | <input type="checkbox"/> |
| DS | AI | MIYAGISHI, Makoto and Kazunari Taira; "U6 promoter-driven siRNAs with four uridine 3' overhangs efficiently suppress targeted gene expression in mammalian cells" <u>Nature Biotechnology</u> 19:497- | <input type="checkbox"/> |

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| Examiner Signature | /Dana Shin/ | Date Considered | 06/13/2006 |
|--------------------|-------------|-----------------|------------|

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 809. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

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| DS | AJ | OHKAWA, Jun and Kazunari Taira; "Control of the functional activity of an antisense RNA by a tetracycline-responsive derivative of the human U6 snRNA promoter" <u>Human Gene Therapy</u> 11:577-585 (March 1, 2000) | <input type="checkbox"/> |
| DS | AK | TUSCHL, Thomas; "Expanding small RNA interference: Several reports describe vector systems capable of producing small interfering RNAs for downregulating gene expression in mammalian cells"; <u>Nature Biotechnology</u> 20:446-448 (May 2002) | <input type="checkbox"/> |
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